



Docket No.: 42390P11277

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TINKU ACHARYA

Application No.: 09/823,390

Filed: March 30, 2001

For: **TWO-DIMENSIONAL PYRAMID  
FILTER ARCHITECTURE**

Art Group: Not Assigned

Examiner: Not Assigned

RECEIVED  
OCT 10 2002  
1C 2800 MAIL ROOM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

RECEIVED  
DEC 23 2002  
Technology Center 2600

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 22, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Kerry D. Tweet, Reg. No. 45,959

Date: October 04, 2002

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

*October 04, 2002*

*Angie C. Farr* 10-04-02  
*Angie C. Farr* *Date*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/823,390     |
| Filing Date          | March 30, 2001 |
| First Named Inventor | Tinku Acharya  |
| Examiner Name        | Not Assigned   |
| Group/Art Unit       | Not Assigned   |
| Attorney Docket No.  | 42390p11277    |

RECEIVED  
OCT 10 2001  
MAIL ROOM

## METHOD OF PAYMENT (check one)

 Check     Credit card     Money Order     Other     None  
 Deposit Account
Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Paid               |
|--------------|----------|--------------|----------|------------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |
| 101          | 740      | 201          | 370      | Utility filing fee     |
| 106          | 330      | 206          | 165      | Design filing fee      |
| 107          | 510      | 207          | 255      | Plant filing fee       |
| 108          | 740      | 208          | 370      | Reissue filing fee     |
| 114          | 160      | 214          | 80       | Provisional filing fee |
| SUBTOTAL (1) |          | (\$)         |          |                        |

## 2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims             | Fee from below         | Fee Paid               |
|--------------|--------------------|--------------------------|------------------------|------------------------|
|              |                    | " = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
|              |                    | " = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 280      | 204          | 140      | Multiple Dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |          | (\$)         |          |   |

\*\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee Paid  |
|---------------------|--------------|-----------------|---|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$)  |
| 105                 | 130          | 205             | 65 Surcharge - late filing fee or oath  |
| 127                 | 50           | 227             | 25 Surcharge - late provisional filing fee or cover sheet                     |
| 139                 | 130          | 139             | 130 Non-English specification   |
| 147                 | 2,520        | 147             | 2,520 For filing a request for ex parte reexamination                         |
| 112                 | 920 *        | 112             | 920 * Requesting publication of SIR prior to Examiner action                  |
| 113                 | 1,840 *      | 113             | 1,840 * Requesting publication of SIR after Examiner action                   |
| 115                 | 110          | 215             | 55 Extension for reply within first month                                     |
| 116                 | 400          | 216             | 200 Extension for reply within second month                                   |
| 117                 | 920          | 217             | 460 Extension for reply within third month                                    |
| 118                 | 1,440        | 218             | 720 Extension for reply within fourth month                                   |
| 128                 | 1,960        | 228             | 980 Extension for reply within fifth month                                    |
| 119                 | 320          | 219             | 160 Notice of Appeal  |
| 120                 | 320          | 220             | 160 Filing a brief in support of an appeal                                    |
| 121                 | 280          | 221             | 140 Request for oral hearing  |
| 138                 | 1,510        | 138             | 1,510 Petition to institute a public use proceeding                           |
| 140                 | 110          | 240             | 55 Petition to revive - unavoidable   |
| 141                 | 1,280        | 241             | 640 Petition to revive - unintentional  |
| 142                 | 1,280        | 242             | 640 Utility issue fee (or reissue)  |
| 143                 | 460          | 243             | 230 Design issue fee  |
| 144                 | 620          | 244             | 310 Plant issue fee   |
| 122                 | 130          | 122             | 130 Petitions to the Commissioner   |
| 123                 | 50           | 123             | 50 Processing fee under 37 CFR 1.17(q)  |
| 126                 | 180          | 126             | 180 Submission of Information Disclosure Stmt                                 |
| 581                 | 40           | 581             | 40 Recording each patent assignment per property (times number of properties) |
| 146                 | 740          | 246             | 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |
| 149                 | 740          | 249             | 370 For each additional invention to be examined (37 CFR § 1.129(b))          |
| 179                 | 740          | 279             | 370 Request for Continued Examination (RCE)                                   |
| 169                 | 900          | 169             | 900 Request for expedited examination of a design application                 |
| Other fee (specify) |              |                 |   |
| SUBTOTAL (3)        |              | (\$)            |   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Complete (if applicable)

|                   |                |                                      |          |           |                |
|-------------------|----------------|--------------------------------------|----------|-----------|----------------|
| Name (Print/Type) | Kerry D. Tweet | Registration No.<br>(Attorney/Agent) | 45,959   | Telephone | (503) 684-6200 |
| Signature         |                | Date                                 | 10/04/02 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.